



Global Finance & Securities Ltd.

CIN : L65991WB1994PLC061723

Dated: 18th October, 2021

To,
The Secretary
The Calcutta Stock Exchange Limited
7 Lyons Range
Kolkata 700 001

Dear Sir,

**Re.: GLOBAL FINANCE & SECURITIES LIMITED
STOCK CODE: GLOBESEC**

**SUB.: Share Holding Pattern under Regulation 31 of the SEBI
(Listing Obligation & Disclosure Requirements) Regulations, 2015.**

Please find enclosed herewith the Statement showing Shareholding Pattern of the Company pursuant to Regulation 31 of the SEBI (LODR) Regulations, 2015 for the quarter ended on 30.09.2021.

This is for your information and record.

Thanking You,

Yours faithfully
For Global Finance & Securities Limited

Ankita Agarwal

**Ankita Agarwal
Company Secretary & Compliance Officer**

Encl.: As stated above

Format of holding of specified securities

Annexure - I

- 1 **Name of Listed Entity : GLOBAL FINANCE & SECURITIES LTD.**
- 2 Scrip Code/Name of Scrip/Class of Security :
- 3 Share Holding Pattern Filed under: Reg. 31(1) (b) : **Quarter Ended 30/09/2021**
- 4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class eg: x	Class eg: y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group	15	2215500	0	0	2215500	44.308	2215500	0	44.308	0	44.308	0	0.000	0	0.000	1451800
(B)	Public	3325	2784700	0	0	2784700	55.692	2784700	0	55.692	0	55.692	0	0.000	0	0.000	824200
(C)	Non Promoter - Non Public																
(C1)	Shares underlying DRs																
(C2)	Shares held by Employee Trusts																
	TOTAL	3340	5000200	0	0	5000200	100.000	5000200	0	100.000	0	100.000	0	0.000	0	0.000	2276000

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of shareholders (1)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN (2)	Nos. of shareholders (3)	No. of fully paid-up equity shares held (4)	No. of partly paid-up equity shares held (5)	No. of shares underlying Depository Receipts (6)	Total nos. shares held (VI) a (4)+(5)+(6)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C) (VII)	Number of Voting Rights held in each class of securities (B)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities as a percentage of diluted share capital (X)/(A+B+C) (VIII)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held by dematerialized form (XIV)
									Class A	Class Y	Total			Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)	
(1)	Indian		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(a)	Individuals/Hindu undivided Family		13	2147500	0	0	2147500	42.948	2147500	0	2147500	42.948	0	0.000	0	0.000	0	1451800
	ANIL NAHATA	G	0	30000	0	0	30000	0.600	30000	0	30000	0.600	0	0.000	0	0.000	0	0
	AVINASH NAHATA	G	0	30000	0	0	30000	0.600	30000	0	30000	0.600	0	0.000	0	0.000	0	0
	BALCHAND SINGHI	G	0	216500	0	0	216500	4.330	216500	0	216500	4.330	0	0.000	0	0.000	0	0
	HATHI MAL NAHATA	P	0	80100	0	0	80100	1.602	80100	0	80100	1.602	0	0.000	0	0.000	0	0
	KAMAL SINGHI	P	0	50100	0	0	50100	1.002	50100	0	50100	1.002	0	0.000	0	0.000	0	50100
	MADHU SINGHI	G	0	50000	0	0	50000	1.000	50000	0	50000	1.000	0	0.000	0	0.000	0	50000
	PRAKASH CHAND NAHATA	G	0	80000	0	0	80000	1.600	80000	0	80000	1.600	0	0.000	0	0.000	0	0
	RAJ KUMAR NAHATA	P	0	693400	0	0	693400	13.867	693400	0	693400	13.867	0	0.000	0	0.000	0	628300
	SARIKA NAHATA	G	0	30000	0	0	30000	0.600	30000	0	30000	0.600	0	0.000	0	0.000	0	30000
	SAROJ DEVI NAHATA	G	0	30000	0	0	30000	0.600	30000	0	30000	0.600	0	0.000	0	0.000	0	0
	SHESH KARAN SINGHI	P	0	693400	0	0	693400	13.867	693400	0	693400	13.867	0	0.000	0	0.000	0	693400
	SUMAN NAHATA	G	0	50000	0	0	50000	1.000	50000	0	50000	1.000	0	0.000	0	0.000	0	0
	SUMAN SINGHI	G	0	114000	0	0	114000	2.280	114000	0	114000	2.280	0	0.000	0	0.000	0	0
(b)	Central Government/Slate Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(d)	Any Other Bodies Corporate		2	68000	0	0	68000	1.360	68000	0	68000	1.360	0	0.000	0	0.000	0	0
	RACHYITA INVESTMENT PVT. LTD.	G	0	48000	0	0	48000	0.960	48000	0	48000	0.960	0	0.000	0	0.000	0	0
	SAMRAT MERCANTILE PVT. LTD.	G	0	20000	0	0	20000	0.400	20000	0	20000	0.400	0	0.000	0	0.000	0	0
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
	Clearing Members		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
	Sub-total (A)(1)		15	2215500	0	0	2215500	44.308	2215500	0	2215500	44.308	0	0.000	0	0.000	0	1451800
(2)	Foreign Individuals (Non-Resident Individuals)/Foreign Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(a)	Government Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(b)	Foreign Portfolio Investor		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(c)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(d)	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(e)	Sub-Total (A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		15	2215500	0	0	2215500	44.308	2215500	0	2215500	44.308	0	0.000	0	0.000	0	1451800

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of shareholders (i)	PAN (ii)	Nos. of shareholder (iii)	No. of fully paid up shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares held underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % calculated as per SCRR, 1987 (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)+(x) as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (xiv)
								Class (k)	Class (y)	Total			No. (r)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(1)																	
(a)	Institutions	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
	Mutual Funds	2	83500	0	0	83500	1.670	83500	0	83500	1.670	0	0.000	0	0.000	0	0
	CRB TRUSTEE LTD A/C CRB MUTUAL FUND	0	73400	0	0	73400	1.468	73400	0	73400	1.468	0	0.000	0	0.000	0	0
(b)	Venture Capital Funds	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
	Alternate Investment Funds	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(c)	Foreign Venture Capital Investors	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(d)	Foreign Portfolio Investors	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(e)	Financial Institutions/Banks	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(f)	Insurance Companies	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(g)	Provident Funds/Pension Funds	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(h)	Any Other	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(i)	Foreign Institutional Investors	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
	QFI - Corporate	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
	Sub-Total (B)(1)	2	83500	0	0	83500	1.670	83500	0	83500	1.670	0	0.000	0	0.000	0	0
(2)	Central Government/State Government(s)/President of India	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
	Sub-Total (B)(2)	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(3)	Non-Institutions	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
(a)	Individuals	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
	i) Individual shareholders holding nominal share capital up to Rs. 2 Lakhs.	3253	1489800	0	0	1489800	29.795	1489800	0	1489800	29.795	0	0.000	0	0.000	0	392500
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.	4	363900	0	0	363900	7.278	363900	0	363900	7.278	0	0.000	0	0.000	0	88900

	PUSHRAJ SINGHI	AMIPS2602N	0	58900	0	0	0	0	58900	1.178	58900	0	1.178	0	0.000	0	0.000	0	58900
	RAKHEE																		
	SHYAMSUKHA		0	250000	0	0	0	0	250000	5.000	250000	0	5.000	0	0.000	0	0.000	0	0
(b)	NBFCs registered with RBI		0	0	0	0	0	0	0	0.000	0	0	0.000	0	0.000	0	0.000	0	0
(c)	Employee Trusts		0	0	0	0	0	0	0	0.000	0	0	0.000	0	0.000	0	0.000	0	0
	Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0	0	0	0.000	0	0	0.000	0	0.000	0	0.000	0	0
(d)	Any Other		0	0	0	0	0	0	0	0.000	0	0	0.000	0	0.000	0	0.000	0	0
(e)	Bodies Corporate		53	847200	0	0	0	847200	16.943	847200	16.943	0	16.943	0	0.000	0	0.000	0	342500
	GOB SHARE & STOCK BROKING SERVICES LTD.		0	133000	0	0	0	133000	2.660	133000	2.660	0	2.660	0	0.000	0	0.000	0	0
	GOB SHARE AND STOCK BROKING SERVICES LIM	AAACG9733B	0	67000	0	0	0	67000	1.340	67000	1.340	0	1.340	0	0.000	0	0.000	0	67000
	HUNGERFORD CONSULTANTS PRIVATE LIMITED	AAACH9345K	0	80500	0	0	0	80500	1.610	80500	1.610	0	1.610	0	0.000	0	0.000	0	80500
	M H FINANCE AND MERCANTILE PVT LTD		0	83400	0	0	0	83400	1.668	83400	1.668	0	1.668	0	0.000	0	0.000	0	0
	PAGARIA HOLDING PRIVATE LIMITED	AAAF93995C	0	67500	0	0	0	67500	1.350	67500	1.350	0	1.350	0	0.000	0	0.000	0	67500
	VICCO PRODUCTS BOMBAY PVT LTD	AAACV4446N	0	65600	0	0	0	65600	1.312	65600	1.312	0	1.312	0	0.000	0	0.000	0	65600
	WELLWORTH																		
	MULTYFIN (P) LTD		0	98400	0	0	0	98400	1.968	98400	1.968	0	1.968	0	0.000	0	0.000	0	0
	QFI - Individual		0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
	NRI - Repatriable		0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
	NRI - Non-Repatriable		0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
	OCBs		0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
	Trusts		0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0
	Clearing Members		3	300	0	0	0	300	0.006	300	0.006	0	0.006	0	0.000	0	0.000	0	300
	IEPF Authority		0	0	0	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0	0
	Unclaimed Shares																		
	A/c		0	0	0	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0	0
	Sub-Total (B)(3)		3323	2701200	0	0	0	2701200	54.022	2701200	54.022	0	54.022	0	0.000	0	0.000	0	824200
	Total Public Shareholding (B)=(B)(1)-(B)(2)+(B)(3)		3325	2784700	0	0	0	2784700	55.692	2784700	55.692	0	55.692	0	0.000	0	0.000	0	824200

